

Collective modes and linear response of spin-triplet pairing models of Sr_2RuO_4 , UPt_3 and $^3\text{He-A}$

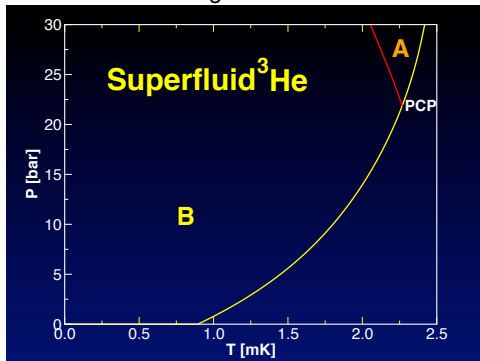
Hao Wu¹, Suk-Bum Chung² and J. A. Sauls¹

¹Northwestern University and ²Seoul National University

July 9, 2014

- Supported by NSF Grant DMR-1106315

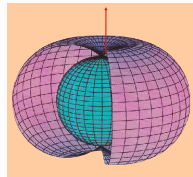
Phase Diagram of Bulk ^3He



Spin-Triplet, P-wave Order Parameter:

$$\Delta_{\alpha\beta}(\mathbf{p}) = \vec{\mathbf{d}}(\mathbf{p}) \cdot (i\vec{\sigma}\sigma_y)_{\alpha\beta} \rightsquigarrow \mathbf{d}_\mu(\mathbf{p}) = A_{\mu i} \mathbf{p}_i$$

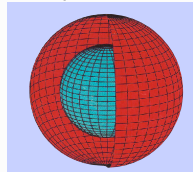
Chiral ABM State $\vec{l} = \hat{\mathbf{m}} \times \hat{\mathbf{n}}$



$$A_{\mu i} = \Delta \hat{\mathbf{d}}_\mu (\hat{\mathbf{m}} + i\hat{\mathbf{n}})_i$$

$$L_z = 1, S_z = 0$$

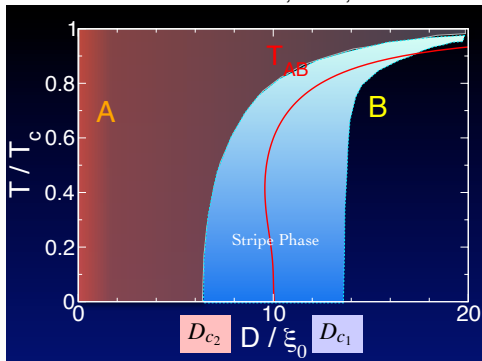
“Isotropic” BW State



$$A_{\mu i} = \Delta \delta_{\mu i}$$

$$J = 0, J_z = 0$$

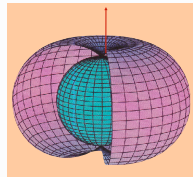
A. Vorontsov & JAS, PRL, 2007



Spin-Triplet, P-wave Order Parameter:

$$\Delta_{\alpha\beta}(\mathbf{p}) = \vec{\mathbf{d}}(\mathbf{p}) \cdot (i\vec{\sigma}\sigma_y)_{\alpha\beta} \rightsquigarrow \mathbf{d}_\mu(\mathbf{p}) = A_{\mu i} \mathbf{p}_i$$

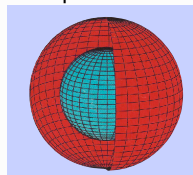
Chiral ABM State $\vec{l} = \hat{\mathbf{m}} \times \hat{\mathbf{n}}$



$$A_{\mu i} = \Delta \hat{\mathbf{d}}_\mu (\hat{\mathbf{m}} + i\hat{\mathbf{n}})_i$$

$$L_z = 1, S_z = 0$$

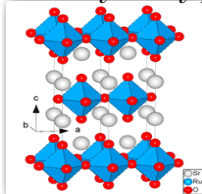
“Isotropic” BW State



$$A_{\mu i} = \Delta \delta_{\mu i}$$

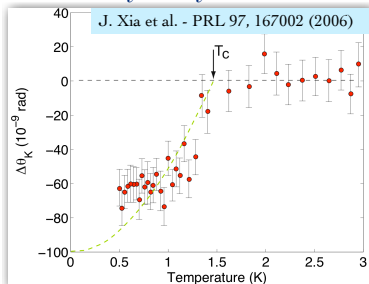
$$J = 0, J_z = 0$$

Are there electronic superconductors with broken symmetry phases analogous to ^3He ?



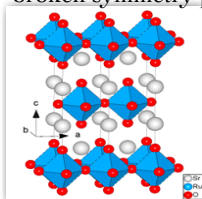
Sr_2RuO_4 $T_c = 1.15\text{K}$

- ✱ $S=1$ (NMR)
- ✱ "p-wave", E_{1u}
- ✱ Broken T-symmetry ✓ Kerr rotation

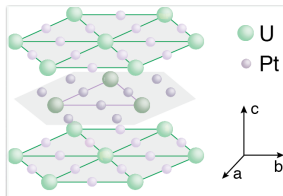


Broken Time-Reversal Symmetry and Multi-Dimensional Superconductivity

Are there electronic superconductors with broken symmetry phases analogous to ^3He ?

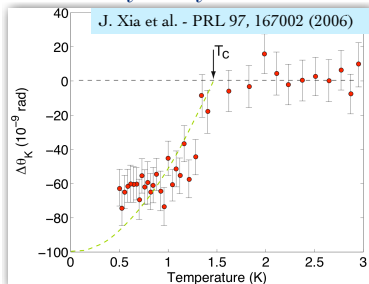


UPt_3 $T_c=0.56$ K



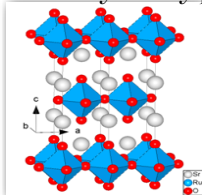
Sr_2RuO_4 $T_c=1.15$ K

- ✱ $S=1$ (NMR)
- ✱ "p-wave", E_{1u}
- ✱ Broken T-symmetry ✓ Kerr rotation



Broken Time-Reversal Symmetry and Multi-Dimensional Superconductivity

Are there electronic superconductors with broken symmetry phases analogous to ^3He ?



UPt_3 $T_c = 0.56$ K

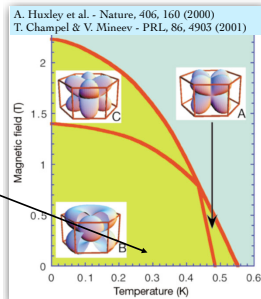
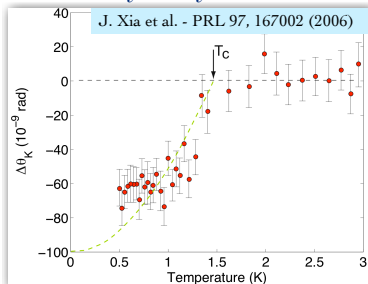
- ✿ $S=1$ (NMR & H_2)
- ✿ "f-wave", E_{2u}
- ✿ Broken T-symmetry

multiple SC phases

JAS, Adv. Phys. 43, 113(1994)

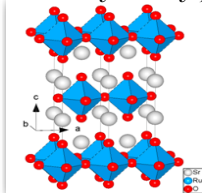
Sr_2RuO_4 $T_c = 1.15$ K

- ✿ $S=1$ (NMR)
- ✿ "p-wave", E_{1u}
- ✿ Broken T-symmetry ✓ Kerr rotation



Broken Time-Reversal Symmetry and Multi-Dimensional Superconductivity

Are there electronic superconductors with broken symmetry phases analogous to ^3He ?



UPt_3 $T_c = 0.56 \text{ K}$

✿ $S=1$ (NMR & H_2)

✿ "f-wave", E_{2u}

✿ Broken T-symmetry ✓

multiple SC phases

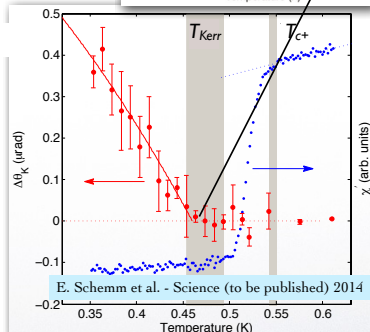
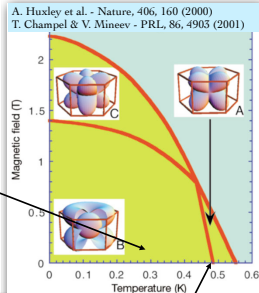
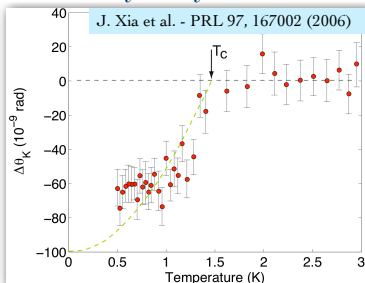
JAS, Adv. Phys. 43, 113(1994)

Sr_2RuO_4 $T_c = 1.15 \text{ K}$

✿ $S=1$ (NMR)

✿ "p-wave", E_{1u}

✿ Broken T-symmetry ✓ Kerr rotation



Pairing Models

 $S = 1$, p -wave Cooper Pairs (E_{1u}) $\approx 2D$ Fermi surface (γ -band)

- ▶ T. M. Rice and M. Sigrist, J. Phys. Cond. Mat. 7, L643 (1995).

$$\vec{d}(\mathbf{p}) = \hat{d} \left(A_x \hat{p}_x + A_y \hat{p}_y \right)$$

Anisotropic E_{1u} Cooper Pairs:

- ▶ S Raghu et al, J. Phys. (2013); T. Scaffidi et al. arXiv:1401.0016
- ▶ Q. H. Wang et al. Eur.Phys. Lett. 104, 17013(2013)

$$\hat{p}_x \rightarrow Y_x(\mathbf{p}) \sim \hat{p}_x I(\mathbf{p})$$

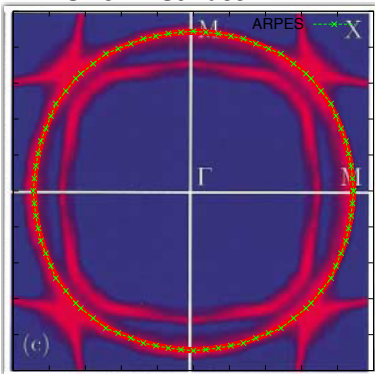
$$\hat{p}_y \rightarrow Y_y(\mathbf{p}) \sim \hat{p}_y I(\mathbf{p})$$

 $I(\hat{p}_x, \hat{p}_y)$ is invariant under D_{4h}

$$I(\mathbf{p}) = (1 - \varepsilon |\hat{p}_x^2 - \hat{p}_y^2|) / (1 + \varepsilon^2/2)$$

$$0 \leq \varepsilon \leq 1$$

ARPES Fermi Surface



A. Damascelli, et al. Phys. Rev. Lett. 85, (2000).

- ▶ Multi-component order + Anisotropy + Disorder \rightsquigarrow Spectroscopy of Pairing Symmetry

- Maximal Group Sr_2RuO_4 : $G = D_{4h} \times SO(3)_S \times U(1)_N \times T$

- Equal-Spin-Pairing: $\vec{d}(\mathbf{p}) = \hat{d} \left(A_x Y_x(\mathbf{p}) + A_y Y_y(\mathbf{p}) \right)$

- \vec{A} transforms as a complex vector under D_{4h}

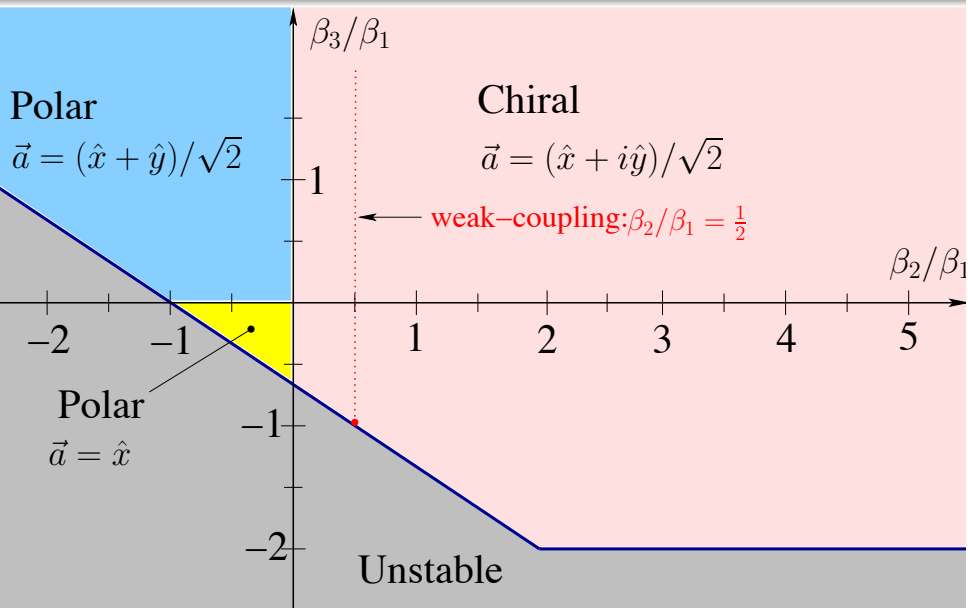
- Ginzburg-Landau Functional

▶ D. W. Hess et al, J. Phys. Cond. Mat. 1, 43 (1989).

$$\mathcal{F}[\vec{A}] = \alpha(T) |\vec{A}|^2 + \beta_1 |\vec{A}|^4 + \beta_2 |\vec{A} \cdot \vec{A}|^2 + \beta_3 [|A_x|^4 + |A_y|^4]$$

- ▶ $\beta_2 > 0 \rightsquigarrow$ Broken Time-Reversal ▶ $\beta_3 \neq 0 \rightsquigarrow$ Tetragonal Anisotropy

Phase Diagram for and ESP E_{1u} Superconductor $\sim \text{Sr}_2\text{RuO}_4$



Time-Dependent GL Theory - Fluctuations

- Chiral basis vectors: $\hat{\mathbf{x}}_{\pm} = (\hat{\mathbf{x}} \pm i\hat{\mathbf{y}}) / \sqrt{2}$ with $\hat{\mathbf{x}}_{\pm} \cdot \hat{\mathbf{x}}_{\pm}^* = 1$, $\hat{\mathbf{x}}_{+} \cdot \hat{\mathbf{x}}_{-} = 1$, $\hat{\mathbf{x}}_{\pm} \cdot \hat{\mathbf{x}}_{\pm} = 0$

- $\vec{A}(\mathbf{r}, t) = \Delta \hat{\mathbf{x}}_{+}$ (Ground State) + $\vec{\mathcal{A}}(\mathbf{r}, t)$ (Cooper Pair Fluctuations)

$$\vec{\mathcal{A}}(\mathbf{r}, t) = D(\mathbf{r}, t) \hat{\mathbf{x}}_{+} + E(\mathbf{r}, t) \hat{\mathbf{x}}_{-}$$

- Normal Modes: $D^{\pm} \equiv D \pm D^*$ $E^{\pm} \equiv E \pm E^*$

- Potential Energy of Pair Fluctuations: $\mathcal{U}[\vec{\mathcal{A}}] = \int dV \delta \mathcal{F}[\vec{\mathcal{A}}]$

$$\delta \mathcal{F}[\vec{\mathcal{A}}] = 4\Delta^2 \left[\beta_1 (D^+)^2 + \beta_2 ((E^+)^2 + E^-)^2 + \frac{1}{2} \beta_3 ((D^+)^2 + E^+)^2 \right] + \mathcal{O}(\mathcal{A}^3)$$

- D^- is absent \rightsquigarrow Goldstone Mode (Phase Fluctuations)
- Lagrangian for Cooper Pair Fluctuations - Bosonic Excitations

$$\mathcal{L}[\vec{\mathcal{A}}, \dot{\vec{\mathcal{A}}}] = \int dV \left\{ \frac{1}{2} \mu \partial_t \vec{\mathcal{A}} \cdot \partial_t \vec{\mathcal{A}}^* - \delta \mathcal{F}[\vec{\mathcal{A}}] \right\} \quad \mu = \beta_1 + \frac{1}{2} \beta_3 \quad (\text{BCS Theory})$$

► G. E. Volovik and M. V. Kazan, JETP 60, 276 (1984) ► S. Theodorakis, PRB 37, 3318 (1988)

- Amplitude Mode (\hat{x}_+) - “Higgs Mode”

$$\partial_t^2 D^+ + 4\Delta^2 D^+ = 0 \quad \rightsquigarrow \quad \omega_{D^+} = 2\Delta$$

► c.f. NbSe₂ \rightsquigarrow theory SC+CDW: P. Littlewood and C. Varma, PRL 47, 811 (1981)

- Anderson-Bogoliubov Phase Mode (\hat{x}_+) - “Goldstone Mode”

$$\partial_t^2 D^- = 0 \quad \rightsquigarrow \quad \omega_{D^-} = 0$$

- Anderson-Higgs Mechanism - Charge coupling to a gauge field, $A_\mu = (A_0, \vec{A})$

$$\omega_{D^-} = 0 \quad \xrightarrow{\partial_\mu \rightarrow \partial_\mu - ieA_\mu} \quad \omega_{\text{pl}} = \sqrt{4\pi n e^2 / m^* c^2} \quad (\text{plasmon})$$

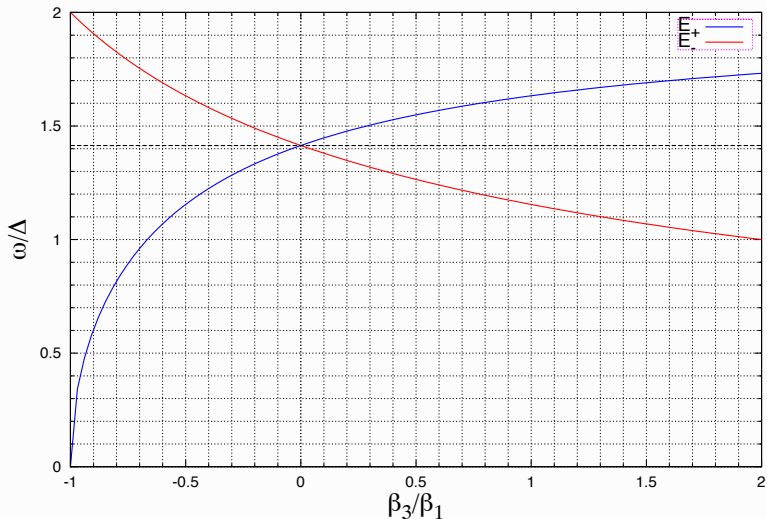
- Anderson-Higgs Modes (\hat{x}_-) - “Opposite Chirality”

$$\partial_t^2 E^+ + 4\Delta^2 \frac{2\beta_2 + \beta_3}{2\beta_1 + \beta_3} E^+ = 0 \quad \rightsquigarrow \quad \omega_{E^+} = 2\Delta \sqrt{\frac{2\beta_2 + \beta_3}{2\beta_1 + \beta_3}} \quad \xrightarrow{\beta_3=0} \quad \sqrt{2}\Delta$$

$$\partial_t^2 E^- + 4\Delta^2 \frac{2\beta_2}{2\beta_1 + \beta_3} E^- = 0 \quad \rightsquigarrow \quad \omega_{E^-} = 2\Delta \sqrt{\frac{2\beta_2}{2\beta_1 + \beta_3}} \quad \xrightarrow{\beta_3=0} \quad \sqrt{2}\Delta$$

► Degeneracy of E^\pm Modes is lifted by Anisotropy!

Collective Mode Frequencies vs. Anisotropy



$$\omega_{E_-} = 2\Delta \sqrt{\frac{2\beta_2}{2\beta_1 + \beta_3}} \xrightarrow{\beta_3 \rightarrow -2\beta_2} 2\Delta$$

and

$$\omega_{E_+} = 2\Delta \sqrt{\frac{2\beta_2 + \beta_3}{2\beta_1 + \beta_3}} \xrightarrow{\beta_3 \rightarrow -2\beta_2} 0$$

- Keldysh green's function $\hat{g}^K = \begin{pmatrix} g & \vec{f} \cdot (i\vec{\sigma}\sigma_y) \\ \vec{f} \cdot (i\sigma_y\vec{\sigma}) & \bar{g} \end{pmatrix}$

- Quasiclassical Transport Equation

$$(\varepsilon \hat{\tau}_3 - \hat{\Sigma}_{\text{ext}} - \hat{\Sigma}^R) \circ \hat{g}^K - \hat{g}^K \circ (\varepsilon \hat{\tau}_3 - \hat{\Sigma}_{\text{ext}} - \hat{\Sigma}^A) - \hat{\Sigma}^K \circ \hat{g}^R + \hat{g}^A \circ \hat{\Sigma}^K + i\vec{v}_f \cdot \nabla \hat{g}^K = 0,$$

- Mean field approximation

$$\hat{\Sigma}^A = \hat{\Sigma}^R = \hat{\Delta}, \quad \hat{\Sigma}^K = 0.$$

- Linearized dynamical equation

$$(\varepsilon + \frac{\omega}{2})\tau_3 \hat{g}^K - \hat{g}^K (\varepsilon - \frac{\omega}{2})\tau_3 + i\vec{v}_f \cdot \nabla \hat{g}^K - (\hat{\Delta} + \hat{\Sigma}_{\text{ext}}) \circ \hat{g}^K + \hat{g}^K \circ (\hat{\Delta} + \hat{\Sigma}_{\text{ext}}) = 0$$

- Time-dependent BCS "gap equation"

$$\vec{\Delta}(\vec{p}_f, \vec{R}; t) = \int \frac{d\varepsilon}{4\pi i} \int d\vec{p}'_f V^t(\vec{p}_f, \vec{p}'_f) \vec{f}(\vec{p}'_f, \vec{R}; \varepsilon, t),$$

- Disorder (random field - impurity T-matrix) $\rightsquigarrow \ell = v_f \tau$, $\ell^{-1} = n_{\text{imp}} \sigma$

$$\hat{\Sigma}_{\text{imp}}(\vec{p}_f, \vec{R}; \varepsilon, t) = n_{\text{imp}} \left[\begin{array}{c} \times \\ \text{wavy line} \\ \bullet \end{array} \right] = \begin{array}{c} \times \\ \text{dashed line} \\ \bullet \end{array} + \begin{array}{c} \times \\ \text{triangle} \\ \bullet \text{---} \bullet \end{array} + \begin{array}{c} \times \\ \text{triangle} \\ \bullet \text{---} \bullet \end{array} + \dots$$

- Chiral basis: $\hat{x}_{\pm} = (\hat{p}_x \pm i\hat{p}_y) / \sqrt{2}$, equilibrium state $\sim \Delta\hat{x}_+$

- Electromagnetic coupling

$$\hat{\Sigma}_{\text{ext}} = \frac{e}{c} \vec{v}_{\mathbf{p}} \cdot \vec{A}(\vec{q}, \omega) \hat{\tau}_3$$

- Pairing self-energy (Nambu)

$$\hat{\Delta}(\mathbf{p}) = \begin{pmatrix} 0 & \hat{d} \cdot (i\vec{\sigma}\sigma_y)d(\mathbf{p}) \\ \hat{d} \cdot (i\sigma_y\vec{\sigma})d'(\mathbf{p}) & 0 \end{pmatrix}$$

- Dynamic gap: $d(\mathbf{p}) \rightarrow d(\mathbf{p}, \vec{q}, \omega)$

- Decompose into Chiral basis:

$$d(\mathbf{p}, \vec{q}, \omega) = \Delta\hat{x}_+ + D(\vec{q}, \omega)\hat{x}_+ + E(\vec{q}, \omega)\hat{x}_-$$

$$d'(\mathbf{p}, \vec{q}, \omega) = \Delta'\hat{x}_+ + D^*(\vec{q}, \omega)\hat{x}_+ + E^*(\vec{q}, \omega)\hat{x}_-$$

Dynamical Equation – time dependent gap equation

$$\eta(\mathbf{p}, \vec{q}) = \vec{v}_{\mathbf{p}} \cdot \vec{q}$$

$$\bullet d(p) = D \hat{x}_+ + E \hat{x}_-$$

$$d(p) = \frac{1}{2} \int \frac{d\phi'}{2\pi} V(p, p') \left\{ -\frac{1}{2} \eta' \bar{\lambda} \Delta(p') \left[\frac{2e}{c} \vec{v}_{\mathbf{p}} \cdot \vec{A} \right] + \left[\gamma(p') + \frac{1}{2} (\omega^2 - \eta'^2) \bar{\lambda} \right] d(p') - \bar{\lambda} \left[|\Delta(p')|^2 d(p') + \Delta(p')^2 d'(p') \right] \right\}$$

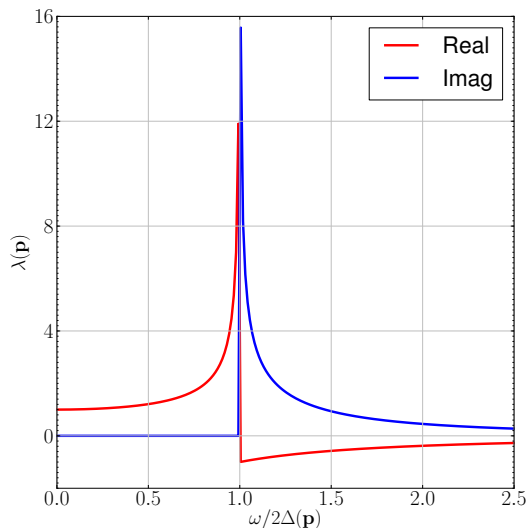
$$\bullet d'(p) = D^* \hat{x}_+ + E^* \hat{x}_-$$

$$d'(p) = \frac{1}{2} \int \frac{d\phi'}{2\pi} V(p, p') \left\{ +\frac{1}{2} \eta' \bar{\lambda} \Delta^*(p') \left[\frac{2e}{c} \vec{v}_{\mathbf{p}} \cdot \vec{A} \right] + \left[\gamma(p') + \frac{1}{2} (\omega^2 - \eta'^2) \bar{\lambda} \right] d'(p') - \bar{\lambda} \left[|\Delta(p')|^2 d'(p') + \Delta^*(p')^2 d(p') \right] \right\}$$

$$\bullet \text{Normal Modes: } D^{\pm} = D \pm D^*$$

$$E^{\pm} = E \pm E^*$$

► S.K. Yip and JAS, J. Low Temp. Phys. 86, 257 (1992).



- $x = \omega/2 |\Delta(\mathbf{p})|$

- Define moments

$$\lambda_{mn} = \int \frac{d\phi}{2\pi} \lambda(\omega, \mathbf{p}) \times I(\phi)^{2m} \cos^{2n}(\phi)$$

- Anderson-Bogoliubov (Goldstone) Mode

$$\omega^2 D_- = 0$$

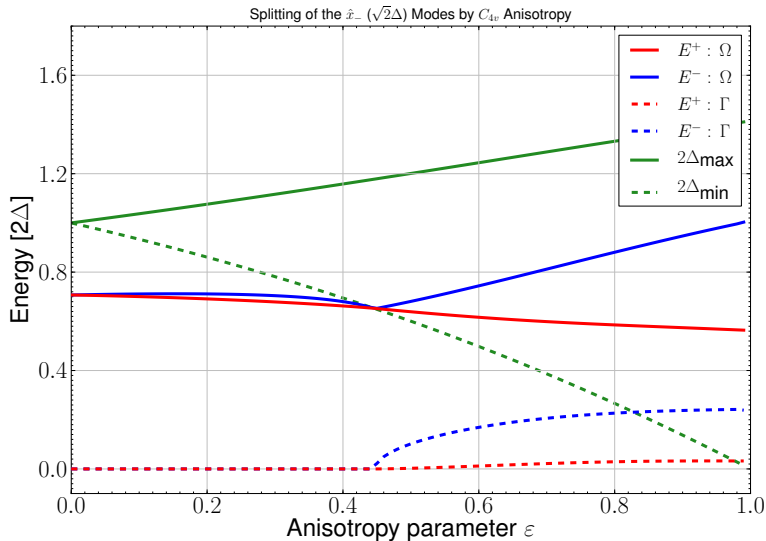
- Anderson-Higgs Mode (\hat{x}_+)

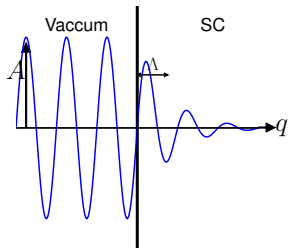
$$\left(\omega^2 - \frac{4\lambda_{10}\Delta(T)^2}{\lambda_{00}} \right) D_+ = 0$$

- Anderson-Higgs Mode (\hat{x}_-)

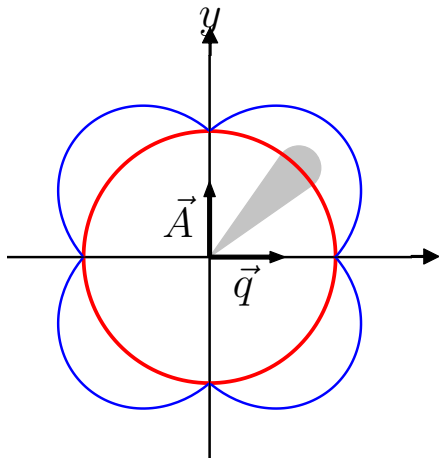
$$\left(\omega^2 - \frac{4\Delta(T)^2\lambda_{11}}{\lambda_{00}} \right) E_+ = 0$$

$$\left(\omega^2 - \frac{4\Delta(T)^2(\lambda_{10} - \lambda_{11})}{\lambda_{00}} \right) E_- = 0$$



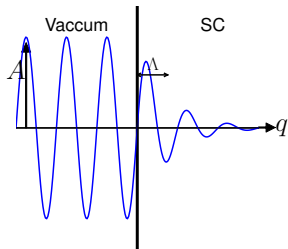


- EM field along [100]

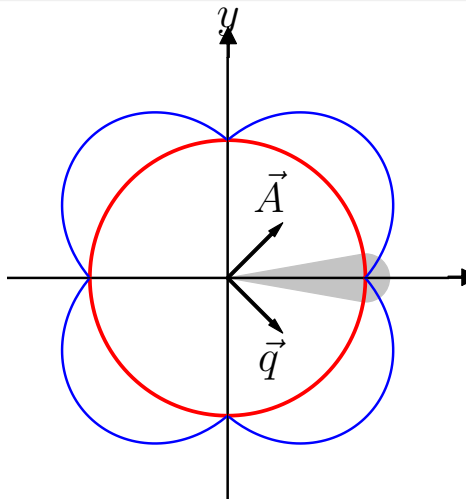


$$[(\omega + i\Gamma)^2 - \omega_{E_+}^2] E_+ = i \frac{e}{c} \Lambda_{100}(\omega) q v_f^2 \Delta A(q, \omega)$$

Mode coupling to a transverse EM field - polarization [110]



- EM field along [110]



$$[(\omega + i\Gamma)^2 - \omega_{E-}^2] E_- = \frac{e}{c} \Lambda_{110}(\omega) q v_f^2 \Delta A(q, \omega)$$

- Current Response: quasiparticle excitation

$$\mathbf{J}^{\text{ex}} = \frac{eN_f}{2} \int \frac{d\phi}{2\pi} \vec{v}_{\mathbf{p}} \left[1 + \frac{\eta^2}{\omega^2 - \eta^2} (1 - \lambda) \right] \left(\frac{2e}{c} \vec{v}_{\mathbf{p}} \cdot \vec{A} \right)$$

- Current Response: collective mode

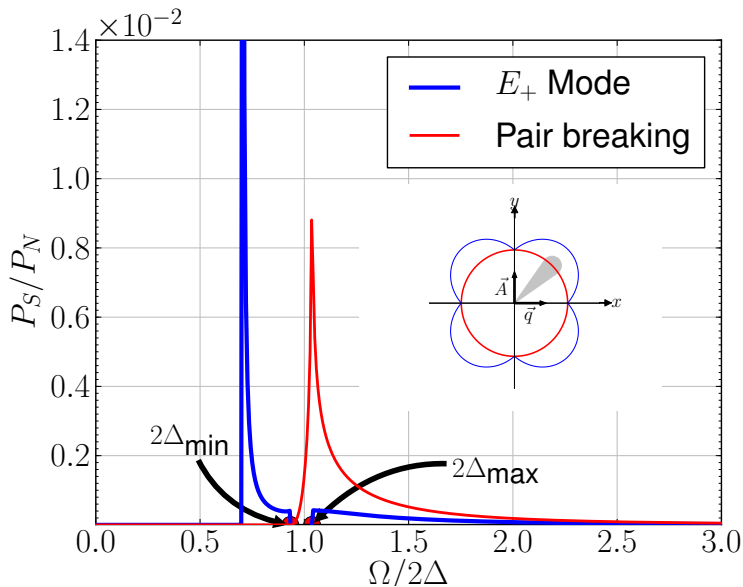
$$\mathbf{J}^{\text{mode}} = \frac{eN_f}{2} \int \frac{d\phi}{2\pi} \vec{v}_{\mathbf{p}} \eta \bar{\lambda} \left(\vec{\Delta}_R \cdot \vec{d}^- - i\vec{\Delta}_I \cdot \vec{d}^+ \right)$$

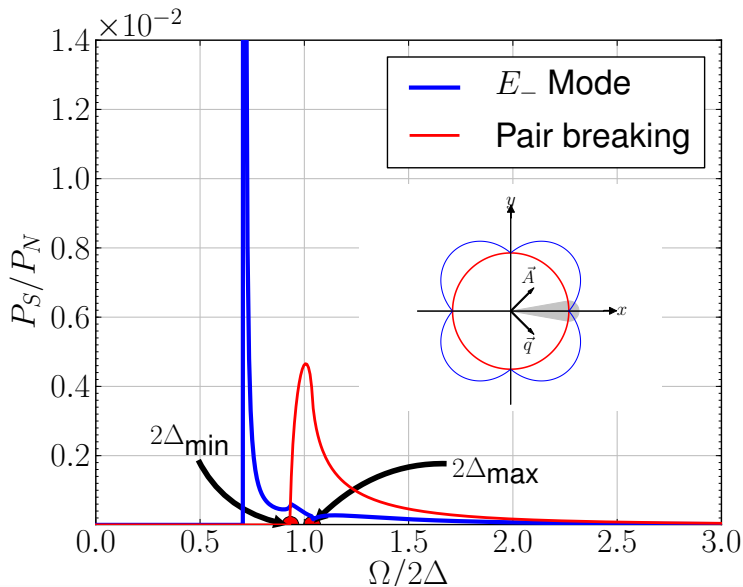
- Power absorption

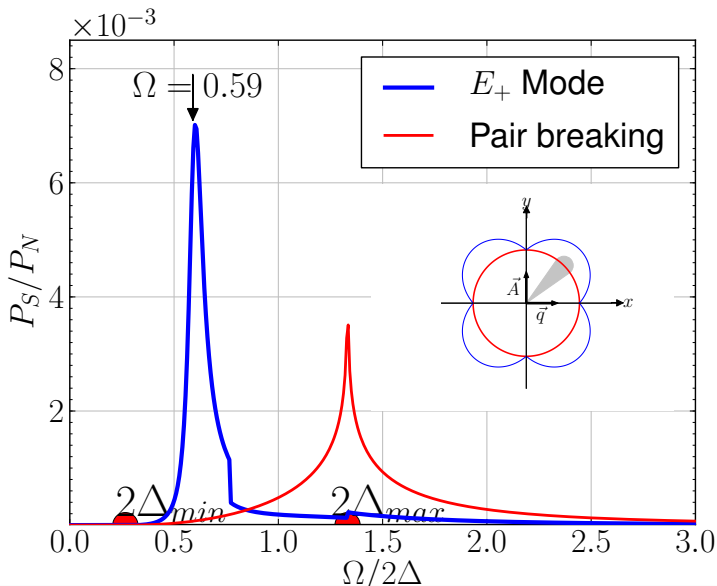
$$\vec{J} = \hat{K} \vec{A}$$

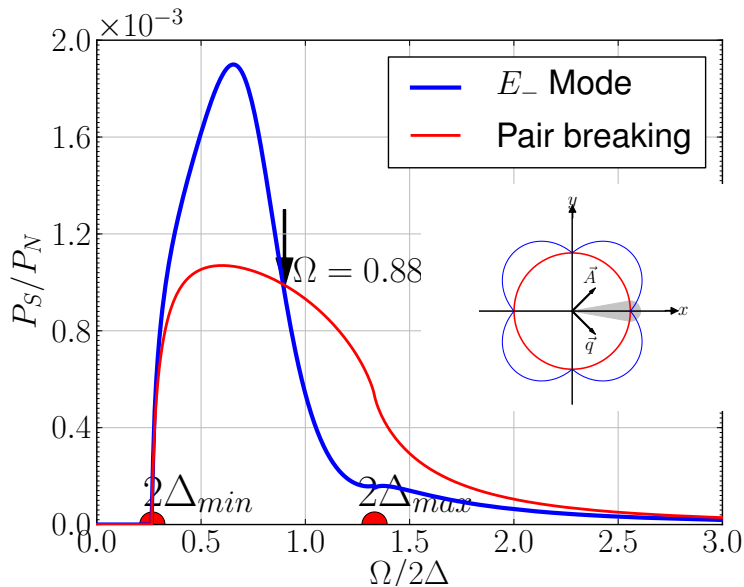
$$P_S(\omega) = P_N(\omega) \frac{\xi_0}{\Lambda} \int \frac{dq}{2\pi} \frac{\text{Im} K(q, \omega)}{\left| q^2 + \frac{4\pi}{c} K(q, \omega) \right|^2}$$

- ▶ P. J. Hirschfeld et al, Phys. Rev. B 40, 6695 (1989).



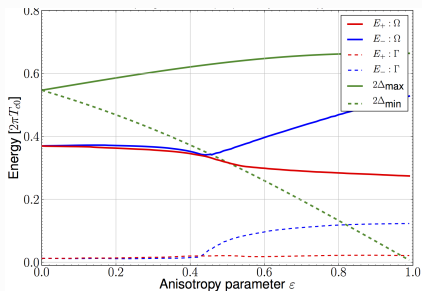




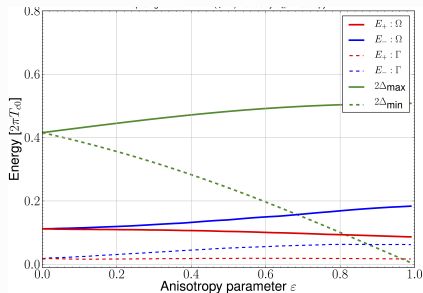


Effect of disorder on mode spectrum

- Low disorder
 $\hbar/2\tau\Delta = 0.01$



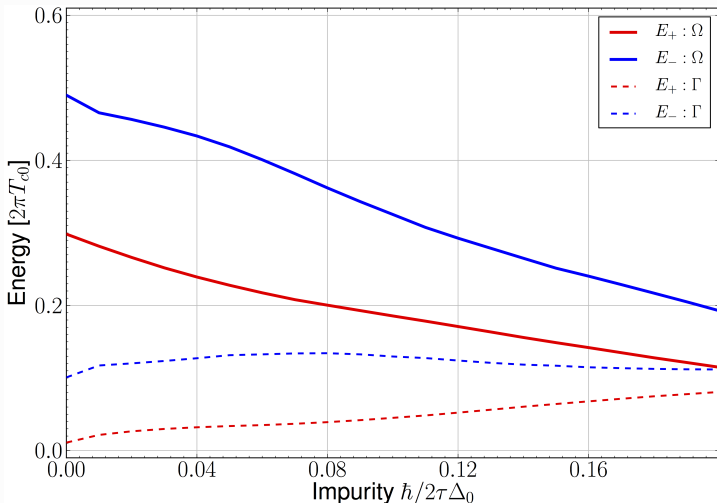
- High disorder
 $\hbar/2\tau\Delta = 0.1$



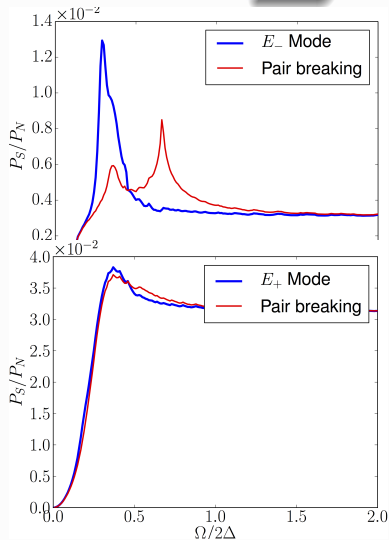
Effect of disorder on mode spectrum

- Mode spectrum dependence on impurity

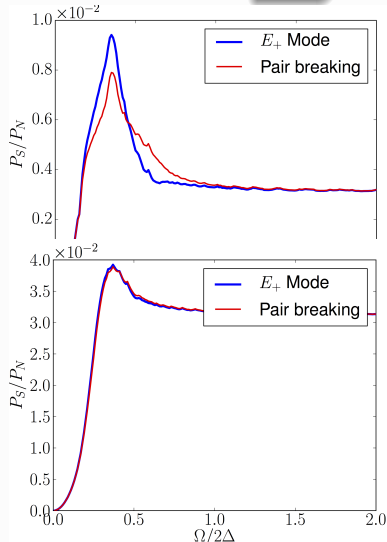
Unitary limit $\sigma = 1.0$, and high anisotropy $\varepsilon = 0.8$



● E_+ , polarization [100]



● E_- , polarization [110]



Summary and Conclusions

- Multi-Component Superconductors
Multiple Phases *and* a Spectrum of Cooper Pair Excitations
- TDGL Theory - Spectrum of Anderson-Higgs Modes with $\omega < 2\Delta$
- Anisotropy \rightsquigarrow
 - Splitting of degenerate Bosonic Modes for E_{1u} Pairing
 - Coupling of Fermions to Bosons \rightsquigarrow Lifetime of AH Modes
- Coupling to Transverse EM Fields \rightsquigarrow
 - Sub-gap ($\omega \approx 10\text{GHz}$) EM absorption
 \rightsquigarrow Signatures of Broken T-symmetry for E_{1u} Pairing
 - Selection Rules for coupling to AH Modes \rightsquigarrow
 \rightsquigarrow Signatures of Pairing Symmetry
- Signatures of AH Modes in $P(\omega)$ survive weak disorder and strong anisotropy